

# Informationsark INVENT smartphone undersøgelse 'Kultur i dit daglige liv'

Juni 2022

## INTRODUKTION

Dette informationsark giver mere information om smartphone-undersøgelsen "Kultur i dit daglige liv". Undersøgelsen udføres som en del af et stort europæisk forskningsprojekt med titlen *INVENT, European Inventory of Societal Values of Culture as a Basis for Inclusive Culture Policies in the Globalizing World*. Dette projekt er finansieret af Den Europæiske Unions Horizon 2020 forsknings- og innovationsprogram. Mere information om projektet findes her: <https://inventculture.eu/>.

## Generel beskrivelse og mål for undersøgelsen

I denne smartphoneundersøgelse ser vi på, hvordan mennesker på 18 år og ældre i hele Europa kommer i kontakt med kultur i deres daglige liv, og hvordan de oplever kultur. Undersøgelsen har til formål at få et mere præcist billede ved at stille spørgsmål tæt på det øjeblik, hvor folk taler om kultur eller deltager i kultur. Derfor modtager deltagerne 4 meget korte spørgeskemaer om dagen i løbet af 7 dage via deres smartphone. Spørgeskemaerne vil spørge til kulturelle samtaler og aktiviteter, respondenterne oplever i det øjeblik, eller har oplevet lige før, de modtager spørgeskemaet, og hvordan de har det. Hvert spørgeskema er meget kort: det vil tage omkring 1-2 minutter at udfylde. I begyndelsen af undersøgelsen modtager deltagerne også nogle generelle spørgsmål, som beder om lidt baggrundsinformation.

Kultur kan være så mange forskellige ting for forskellige mennesker. Derfor vedrører spørgsmålene i denne undersøgelse forskellige aspekter af kultur, såsom musik, tv, bøger, mad, steder at gå ud, kreative hobbyer, sport, politik, spil, internet, kendte personer osv.

## Projektinformation

PROJEKTTITEL	INVENT, European Inventory of Societal Values of Culture as a Basis for Inclusive Culture Policies in the Globalizing World
PRIMÆRE FORSKERE	Prof. Dr. Susanne Janssen, Prof. Marc Verboord Dansk team: Postdoc Eva Myrczik, Prof. Nete Nørgaard Kristensen
ORGANISATION	Erasmus School of History, Culture and Communication, Erasmus University Rotterdam Dansk team: Institut for Kommunikation, Københavns Universitet
FINANSIERING	Den Europæiske Unions Horizont 2020 forsknings- og innovationsprogram, især opkaldet "Kulturens samfundsmæssige værdi og virkningen af kulturpolitikker i Europa"
UNDERSØGELSENS FORMÅL	Projektet har til formål at identificere de elementer, der skal være til stede i kulturpolitikker både på europæisk og nationalt plan, for at hjælpe med at realisere de strategiske mål for den nye europæiske kulturdagsorden.

## Sammenfatning af de etiske procedurer

Denne undersøgelse blev godkendt af the Ethical Review Board for ESHCC ved Erasmus University Rotterdam. Følgende regler gælder for undersøgelsen:

- Smartphoneundersøgelsen er kun beregnet til personer, der er 18 år eller ældre. Inden undersøgelsen starter, skal hver deltager bekræfte, at denne regel gælder for ham eller hende.

- Smarttelefonundersøgelsen består af 1 baseline-spørgeskema og 4 daglige spørgeskemaer, som sendes til deltagerne i løbet af 7 på hinanden følgende dage.
- Deltagelse i smartphoneundersøgelsen er helt frivillig, hvilket betyder, at deltagerne kan stoppe når som helst under deltagelsen. Ved begyndelsen af det første spørgeskema skal hver deltager bekræfte, at hun eller han accepterer at deltage frivilligt ('aktivt samtykke'). Selv når deltagerne underskriver bekræftelsen på, at de deltager frivilligt, kan de stadig stoppe når som helst under smartphoneundersøgelsen uden konsekvenser ('fortrydelsesret'). Dette gælder for alle spørgeskemaer, som sendes i løbet af undersøgelsen.
- Bekræftelse af erklæringen om informeret samtykke vedrørende deltagelse i smartphoneundersøgelsen betyder, at deltagerne accepterer, at de oplysninger, som de giver ved at udfylde spørgeskemaerne, bruges til forskningsprojektet beskrevet ovenfor under strenge betingelser beskrevet nedenfor.
- Alle oplysninger, som deltagerne giver ved at udfylde spørgeskemaerne under undersøgelsen – altså alle svar på spørgsmål – vil blive holdt strengt fortroligt. Det betyder, at rapporter, der anvender oplysninger fra dataindsamlingen, aldrig kan spores tilbage til individuelle deltagere. Deltagernes anonymitet i forskningsresultaterne er til enhver tid garanteret.
- Resultaterne af undersøgelsen vil udelukkende blive brugt til akademiske forskningsformål. Det betyder, at oplysningerne fra undersøgelsen bruges til rapporter, som forskerne skriver for EU, til akademiske publikationer (i overensstemmelse med projektforslaget) og til sekundær analyse af andre forskere.
- Spørgeskemaerne sendes til deltagerne via appen M-path. M-path er en gratis og sikker app udviklet af universitetet i Leuven (Belgien). Deltagerne downloader appen og kontakter forskningsteamet via appen. Deltagerne vil derefter modtage spørgeskemaerne på forskellige tidspunkter i løbet af undersøgelsesperioden. Når undersøgelsesperioden på 7 dage er slut, kan deltagerne slette appen fra deres telefon. Appen bruges kun til at sende spørgeskemaer og modtage svar fra deltagere. Ingen andre personlige oplysninger downloades. Appen installerer heller ikke cookies eller andre programmer.
- Datafilerne, som indeholder resultaterne af undersøgelsen, opbevares på et beskyttet sted, som kun er tilgængeligt for kerneforskere i projektet og dataansvarlig på de samarbejdende universiteter. Kopier af datafilerne leveres til Den Europæiske Union som bevis for udført arbejde.
- Datafilerne, der indeholder svarene på undersøgelsen, indeholder ikke identificerbare oplysninger om deltagerne (f.eks. e-mailadresse eller andre kontaktoplysninger).
- Ud fra test vurderes det, at udfyldelse af ét enkelt spørgeskema tager cirka 1-2 minutter. Deltagere kan ikke fremsætte krav, hvis de anser dette estimat for unøjagtigt, men de kan stoppe undersøgelsen på ethvert tidspunkt.
- Forskerne erklærer, at de er åbne for og tilgængelige for spørgsmål under og efter deltagelse via e-mail ([eva.myrczik@hum.ku.dk](mailto:eva.myrczik@hum.ku.dk)).
- For problemer eller andre spørgsmål vedrørende forskningsprojektet og databeskyttelse, kontakt databeskyttelsesansvarlig for Det Humanistiske Fakultet ved Københavns Universitet Tatjana Crnogorac ([tacr@hum.ku.dk](mailto:tacr@hum.ku.dk)).

### **Resumé af undersøgelsesproceduren**

- Undersøgelsen er designet til at få information om, hvordan personer på 18 år eller ældre i Europa tænker om kultur med det formål at få input til at udvikle en ny kulturpolitik for Europa. Forskerne har sikret sig, at alle emner, der tages op i undersøgelsen, relaterer sig til projektforslaget, som blev godkendt af Det Europæiske Forskningsråd.

- Potentielle risici/ubehag for deltagerne vedrører intensiteten af undersøgelsen. Deltagerne bliver bedt om at besvare spørgeskemaer 4 gange om dagen i 7 dage i træk. Forskerne mener, at de etiske procedurer er tilstrækkelige til at håndtere disse risici. Først og fremmest har deltagerne fået en forklaring på proceduren. For det andet er det blevet forklaret, at deltagerne kan droppe ud, når de vil, og det er også fint, hvis de ikke reagerer på visse notifikationer.
- Potentielle fordele for deltagerne vedrører muligheden for at give input til udvikling af ny europæisk kulturpolitik. Deltagerne kan også få indsigt i deres egne daglige kulturaktiviteter via den app, der bruges.
- Deling af resultaterne af undersøgelsen: Resultaterne af undersøgelsen vil blive dokumenteret i rapporter for Den Europæiske Union, som vil blive tilgængelige på projektets websted. Antallet, arten og tidspunktet for disse rapporter vil følge beskrivelsen i projektforslaget.

### **Erklæring om informeret samtykke**

Alle deltagere, der ønsker at deltage, skal bekræfte erklæringen om informeret samtykke, før de starter undersøgelsen, hvorigennem de angiver, at:

- De er 18 år eller ældre;
- De forstår og er enige i de etiske retningslinjer beskrevet i dette dokument;
- Deres deltagelse i undersøgelsen er frivillig, og at de har ret til at afslutte deres deltagelse til enhver tid uden at angive en grund
- De forstår, hvad der forventes af dem under studiet
- De ved, at de i løbet af undersøgelsen vil modtage flere spørgeskemaer på deres telefon med anmodning om at besvare dem.
- De giver forskerne mulighed for at bruge den information, de leverer, inden for de etiske rammer beskrevet i dette dokument.

Inden deltagerne giver deres samtykke, får de mulighed for at læse ovenstående information.

Here you can find a more extensive information sheet if you want to know more details.

## DETAILS OF THE PROJECT AND THE ETHICAL PROCEDURES IN PLACE

### Project information

PROJECT TITLE	INVENT, European Inventory of Societal Values of Culture as a Basis for Inclusive Culture Policies in the Globalizing World
NAME OF PRINCIPAL INVESTIGATORS	Prof. Dr. Susanne Janssen Dr. Marc Verboord
NAME OF ORGANIZATION	Erasmus School of History, Culture and Communication Erasmus University Rotterdam
SOURCES OF FUNDING	European Union's Horizon 2020 research and innovation programme, in particular the call "The societal value of culture and the impact of cultural policies in Europe"
PURPOSE OF THE STUDY	The project aims to identify the elements which need to be present in cultural policies both at the European and national level, in order to aid the realization of the strategic objectives of the New European agenda for culture.
RESEARCH PROCEDURE	<p>The project consists of various stages and data collection methods. Central focus point is the opinions, perceptions and behaviors of citizens with regards to developments in culture in current society. Compared to earlier studies, this research (a) pays specific attention to the "everyday culture" of citizens, (b) the impact of social mega-trends of globalization, European integration and migration, digitalization, and rising social inequalities, and (c) employs various methodologies (survey, interviews, focus groups, social media analysis, smartphone survey) to render a more open, "bottom-up" account of what citizens find important culture. These insights from citizens will equip policymakers with effective tools for measuring, understanding and enhancing the impact of cultural policies.</p> <p>The smartphone survey study – to which this information refers – concerns a series of short questionnaires about daily life cultural encounters among European citizens in 9 countries. The survey will be executed via an app that participants can download.</p>

### Research procedure survey

In this smartphone survey study we are looking at how people of 18 years and older across Europe come into contact with culture in their daily life, and how they experience culture. The study aims to get a more precise picture by asking questions close to when people talk about culture or participate in culture. Therefore, participants receive short questionnaires 4 times a day for 7 days via their smartphone. The questionnaire will ask about cultural conversations and activities they experience at that moment, or have experienced just before they receive the questionnaire, and how they feel. Each time, the questionnaire is very short: it will take about 1 to 2 minutes to fill out. At the beginning of the study, participants also receive a short general survey which ask for some background information.

Culture can be so many different things to different people. Therefore, questions in this survey concern various aspects of culture, such as music, television, books, food, places to go out, creative hobby's, sport, politics, games, internet, famous persons, etcetera. For this study, we exclude work, school, relationships, and personal care.

The survey questionnaire is developed by the researchers in the project and contains input from all countries involved in the project. The survey questionnaire was tested in every country in a first-version translation. Comments and suggestions were incorporated into a new version. Next, the researchers identified possible translation problems and discussed these, leading to a second-version translation. Then, all translations were checked by professional translators.

The final version of the survey questionnaire (translations) was sent to the ethical review board of Erasmus University Rotterdam, together with the present information sheet and required information in the country at hand. Ethical clearance was secured.

In each country, the final version of the survey questionnaire (translations) was programmed by the local research team in the app M-path.

### Structure of the survey and justification of the questions

The researchers have ensured that all topics addressed in the survey relate to the project proposal which was approved by the European Research Council. The researchers have ensured that all questions are phrased such that they are concrete, answerable, and neutral, in line with standard guidelines for survey design.

The survey questionnaire consists of the following parts.

#### *1. Background characteristics and demographics*

Questions in this part concern, first of all, demographic details which are needed to check whether the realized sample of participants is representative for the total population. Therefore, we ask for *gender, age, highest educational degree, and place where the respondent lives*. Most of these traits are also relevant for the central questions of the project. The project will examine whether perceptions and experiences of culture, and opinions on broader cultural development and cultural policy differ amongst various social groups in Europe. To know what European citizens would like see featured in European policy, it is important to understand differences between genders, age categories, persons with different educational levels and/or occupations, and persons living in different parts of the country. Some differences in background could explain different opinions or needs.

#### *2. Experiences with culture in daily life*

One of the core goals of the project is to gain a better understanding of which aspects of culture European citizens find important, what activities they participate in, and how this is linked to their well-being and connection with others. In the smartphone study, we focus particularly on culture on daily life. There are three different versions or tracks of the smartphone study. This track takes a free format / diary style approach.

The questions concern which cultural activities people participate in during the day of the survey, and which conversations about culture they had during the day of the survey. Additional question concern with whom they talked about or participated in culture, where they were, and how they experienced it. Also, the survey asks how they feel at the moment they receive the invitation to answer the survey.

### Anticipated/potential risks/discomfort

The researchers see as the most important discomfort the intensity of the study. Participants are asked to answer questionnaires 4 times a day for 7 days in a row. The researchers believe that the ethical

procedures are sufficient to deal with these risks. First of all, participants received explanation of the procedure. Second, it has been explained to participants that they can drop out whenever they want, and that is also fine if they miss certain notifications.

The researchers also note that in the development of the survey best practices with regards to the methodology were followed. In particular, the Open Handbook of Experience Sampling Methodology<sup>1</sup> was consulted throughout the process.

### Potential benefits

The survey is part of a research project that addresses an urgent social problem: how can Europe better accommodate the needs of their citizens in terms of culture? This question is asked against the background of larger societal developments that affect the daily lives of citizens, such as increasing globalization, immigration flows, and digitalization.

The smartphone survey will generate insights in daily life cultural experiences of citizens which are often not considered by policy makers. While respondents may not encounter immediate benefits from participating, there is a “trickle-up” benefit. By taking a bottom-up approach, the survey enables participants to provide input for European policy, allowing them to later – hopefully – see the effects. In a more general sense, the survey aims to decrease the gap between citizens and politics in Europe.

An immediate benefit for participants is that they receive insights in their own behaviour and feelings during the study via the app.

### Sharing the results

The results of the survey will be documented in reports for the European Union, which will become available on the website of the project. The number, nature and timing of these reports will follow the description in the project proposal.

In a later stage, academic articles will be written that make use of the survey data. These articles will be published in “open access format”, meaning that they are available without having to pay license fees to publishers. All published academic articles will be publicized on the website of the project.

### Confidentiality

The information that is collected via the survey is treated as confidential information. This means that when the researchers report on the findings of the survey, all possible efforts are taken to ensure that readers of the report cannot identify which participant gave which answer. The participants remain anonymous in all reports that use the survey data. This confidentiality is established in multiple ways.

First of all, data related to the identity of the participant (e.g. email address or other contact information) is kept separate from the answers that participants give.

Data included in the data analysis and research report (data analysis phase and reporting phase) cannot be traced back to identifiable persons.

Second, the survey does not contain questions that can identify individuals. There are no questions which ask for a level of detail or specificity that can be considerable identifiable.

Finally, the reports will present mostly findings in aggregate format. This means that for the most part statistical summaries of answers are presented for certain groups of respondents. Answers to open

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<sup>1</sup> Myin-Germeys, I. & Kuppens, P. (2021). The Open Handbook of Experience Sampling Methodology. Second edition. Leuven: REAL. ISBN: 9798417682889.

questions from the survey can be presented individually, but it will not be disclosed which individual gave this particular answer.

In sum, all direct identifiers will be removed from the various datasets, as well indirect identifiers that are not essential for reusing the data. Furthermore, the level of detail of certain indirect identifiers (for example geographic information, profession/occupation) will be reduced (by for instance aggregation) when sharing the data with others or archiving them in a public archive.

### Storage and protection of the data

The consortium will make use of Research Drive as a secure environment to store, exchange and process data. The EUR, as coordinator of the INVENT project, has signed a data processor agreement with SurfSara Research drive. SurfSara Research drive is ISO27001 certificated and has the following (trusted storage solution) security measures:

- 1) Server-side encryption
- 2) Data encrypted in transit (SSL) (Qualys SSLtest A rating)
- 3) Options to encrypt data at rest
- 4) Annual audits
- 5) Security: procedure and pen-testing
- 6) Compliancy with SURF Standard Framework for Cloud Services, AVG & GDPR
- 7) Source-code reviews
- 8) Strict access control to the data centre
- 9) Data stored will also be checked for malware (in consultation with institutions)
- 10) Vulnerability scans performed regularly.
- 11) SURFsara is ISO/IEC27001 certified (Information security standard)
- 12) When files are deleted, they will be stored as a back up to 30 days (in deleted items)
- 13) Per 24 hours a disaster backup will be made for all projects, which can be recovered (not per separate project but for all projects at once)
- 14) Data-archive option on Tape at any moment the project requires
- 15) 24/7 monitoring will be done by SURFSara. Reports on request

Part of these basic technical security measures is also 2-factor authentication, which makes sure that data is stored behind two security layers.

### Right to withdraw

All participants have the right to withdraw from the survey participation at any time. This is also communicated clearly in the consent information in the beginning of the survey.

Participants do not have the right to withdraw their data after they have completed the survey and submitted their answers. We will ensure that the data cannot be linked to individual respondents. Hence it will be difficult to withdraw the data once the respondents have completed the survey, but the respondents can quit at any time during their participations. Respondents who quit the survey during their participations can signal in the comments field of the questionnaire that they want to withdraw the data they have provided up to the point when they decided to quit.

### Statement of consent

All participants who wish to participate need to confirm the informed consent statement before starting the survey, through which they indicate that:

- They are 18 years old or older;
- They understand and agree with the ethical guidelines described in this document;
- Their participation in the survey is voluntary;

- They allow the researchers to use the information they supply, within the ethical framework described in this document.

This is voluntary informed consent which is active in nature, meaning that:

- Participants are given the free choice to participate or not;
- Participants are informed about the contents and the goals of the survey, the consequences of their participation, and how the information they supply will be used;
- Before participants sign the consent form, they are given the opportunity to read this information sheet via an included link;
- Participant are asked to give active consent: they need to tick the consent box in order to confirm that they have understood the conditions of the research and voluntarily agree to participate.